

N #7  
Collier Shannon Scott, PLLC  
Washington Harbour, Suite 400  
3050 K Street, NW  
Washington, DC 20007-5108  
202.342.8400 TEL  
202.342.8451 FAX 0300



January 28, 2002

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/849,967  
For: SPLICE CHOICE ANTAGONISTS AS THERAPEUTICS AGENTS  
Our Reference: 51230-00601

Dear Sir:

Transmitted herewith for filing in the U.S. Patent Office are the following documents:  
(1) Transmittal Form (1 sheet); (2) Response to Notice to File Corrected Application Papers (2 sheets); (3); Notice to File Corrected Application Papers (2 sheets); (4) Substitute Drawings Depicting Figs. 1-5 (4 sheets); (5) Paper Copy of Sequencing Listing (4 sheets); and (6) Diskette Containing Sequence Listing.

Sincerely,

JOHN N. COULBY, Reg. No. 43,565  
JAMIE M. LARMANN, Reg. No. 48,623

Enclosures



#7

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/849,967
Filing Date	05/08/2001
First Named Inventor	Stuart A. Newman
Group Art Unit	TBA
Examiner Name	TBA

Total Number of Pages in This Submission **13**Attorney Docket Number **51230-00601**

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) ( <b>Substitute</b> )	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Diskette containing Sequence Listing
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<b>Paper Copy of Sequence Listing</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John N. Coulby/Jamie M. Larmann	43,565/48,623
Signature		
Date	01/28/02	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# 7



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:      **Stuart A. Newman, et al.**  
Serial No.:                      **09/849,967**  
Filed:                              **May 8, 2001**  
For:                                **SPLICE CHOICE ANTAGONISTS AS  
THERAPEUTIC AGENTS**  
Attorney Docket #:            **51230 00601**

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed November 28, 2001, Applicants' attorney submits the following documents in order to complete the formal requirements for the filing of the above-referenced application.

- [X]      Copy of Notice to File Corrected Application Papers;
- [X]      Substitute drawings (Figures 1-5); and
- [X]      A paper copy and a computer readable form copy of the Sequence Listing.

In response to the Notice to File Corrected Application Papers, Applicant respectfully requests consideration of the following remarks.

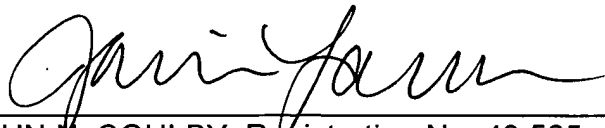
**REMARKS**

The Sequence Listing contains the full listing of SEQ IDs 1 through 4, which are disclosed in the application. Applicant submits that the sequence listing information

**Application Serial No. 09/849,967**

recorded in computer readable form is identical to the written paper sequence listing and includes no new matter.

Respectfully submitted,



Dated: January 28, 2002

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JOHN N. COULBY, Registration No. 43,565  
JAMIE M. LARMANN, Registration No. 48,623  
COLLIER SHANNON SCOTT, PLLC  
3050 K Street, N.W.  
Washington, D.C. 20007  
(202) 342-8400



## UNITED STATES PATENT AND TRADEMARK OFFICE

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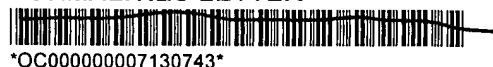
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,967	05/08/2001	Stuart A. Newman	51230-00601

Patrick J. Coyne  
Collier Shannon Scott, PLLC  
Suite 400  
3050 K Street, N.W.  
Washington, DC 20007



CONFIRMATION NO. 1338

## FORMALITIES LETTER



\*OC000000007130743\*

Date Mailed: 11/28/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE